



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Y. AUDEBERT, et al.

Application No.: 09/880,795

Filed: June 15, 2001

For: METHOD, SYSTEM AND APPARATUS FOR A PORTABLE
TRANSACTION DEVICE

COVER LETTER

Assistant Commissioner of Patents
Washington, DC 20231

Sir:

Pursuant to MPEP 601.01(a), page 600-9, this cover letter accompanies the attached Declaration for Patent Application in the above-captioned application. The attached Declaration identifies the title which was on the specification as filed. This cover letter accurately identifies the application for which the attached Declaration was intended as application number 09/880,795. A Submission in response to the Notice to File Missing Parts dated August 14, 2001 is attached.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

James E. Ledbetter
Registration No. 28,732

Date: September 26, 2001

JEL/ejw

ATTORNEY DOCKET NO. L741.01104

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L Street, NW, Suite 850
P.O. Box 34387
Washington, DC 20043-4387
Telephone: (202) 785-0100
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**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
L741.01104

In Re Application Of:

Yves Louis Gabriel AUDEBERT, et al.

SEP 26 2001

Serial No.

09/880,795

Filing Date

June 15, 2001

Examiner

Unassigned

Group Art Unit

Unassigned

Invention:

METHOD, SYSTEM AND APPARATUS FOR A PORTABLE TRANSACTION DEVICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
August 14, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Assignment with PTO-1595

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1337 Small Entity)**

Docket No.
L741.01104

In Re Application Of:

Yves Louis Gabriel AUDEBERT, et al.



Serial No.
09/880,795

Filing Date
June 15, 2001

Examiner
Unassigned

Group Art Unit
Unassigned

Invention:

METHOD, SYSTEM AND APPARATUS FOR A PORTABLE TRANSACTION DEVICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

| | |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$355.00 |
| <input type="checkbox"/> Design application filing fee | |
| <input type="checkbox"/> Total number of independent claims = | |
| <input checked="" type="checkbox"/> Total number of claims = 45 | \$225.00 |
| <input checked="" type="checkbox"/> Multiple dependent claims | \$135.00 |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$65.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | |
| <input type="checkbox"/> Fee for processing and retention of application | |
| Total completion of application fees | \$780.00 |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months
from: _____ until: _____

Date

Date

Total time extension fees _____

Total fees due **\$780.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
L741.01104

In Re Application Of:

Yves Louis Gabriel AUDEBERT, et al.

Serial No.
09/880,795

Filing Date
June 15, 2001

Examiner
Unassigned

Group Art Unit
Unassigned

Invention:

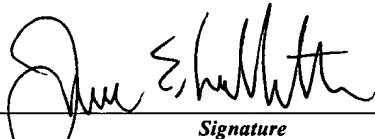
METHOD, SYSTEM AND APPARATUS FOR A PORTABLE TRANSACTION DEVICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$780.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-4375
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-4375
A duplicate copy of this sheet is enclosed.



Signature

James E. Ledbetter, Esq.
Registration No. 28,732
STEVENS, DAVIS, MILLER & MOSHER, LLP
1615 L Street, N.W., Suite 850
Washington, DC 20036
Tel: 202-785-0100
Fax: 202-408-5200

Dated: September 26, 2001

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------------|------------------------|
| 09/880,795 | 06/15/2001 | Yves Louis Gabriel Audebert | L741.01104 |

CONFIRMATION NO. 6672

FORMALITIES LETTER



OC00000006420369

STEVENS, DAVIS, MILLER & MOSHER, LLP
1615 L Street, N.W., Suite 850
Washington, DC 20036

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$720.
 - \$450 for 25 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1560.**

A copy of this notice MUST be returned with the reply.

KSAYSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2001 EABUBAK1 00000025 09880795

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:203 | 225.00 OP |
| 03 FC:204 | 135.00 OP |
| 04 FC:205 | 65.00 OP |